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S Supporting Information

[AB](#page-9-0)STRACT: α [-Branched k](#page-9-0)etones remain a challenging type of substrates in aminocatalysis due to their congested structures as well as the associated difficulties in controlling chemo- and stereoselectivity. In this work, we have explored asymmetric aminocatalysis with α -substituted β -ketoesters. A simple chiral primary amine catalyst was identified to enable unprecedentedly effective catalysis of β -ketoesters in α -hydrazination and Robinson annulation reaction with good yields and high enantioselectivities. Stoichiometric experiments with preformed enamine ester intermediates revealed their enamine-catalytic nature as well as the critical roles of acidic additives in facilitating catalytic turnovers and in tuning the chemo- and stereoselectivity. With the identical catalytic system, the

two reactions demonstrated opposite chiral inductions in terms of the absolute configurations of the newly formed stereogenic centers. Investigations into this intriguing issue by DFT have revealed divergent stereocontrol modes. For α-hydrazination, Hbonding-directed facial attack determines the stereoselectivity, whereas a steric model is applied to the Robinson annulation where dual activations of both β -ketoester and vinyl ketone/aldehyde are involved.

■ INTRODUCTION

With enamine catalysis stepping into its second decade ever since its renaissance, scopes of studies have now included either un-, mono-, or disubstituted enamine intermediates in a number of C−C or C−X bond-forming reactions. Though extensively explored, the reaction with α -branched ketones via trisubstituted enamines, an appealing process to access chiral ketones bearing α -quaternary stereogenic carbons, has yet to be realized (Scheme 1).¹ Presumably, the challenges can be understood by considering the sterically hindered nature of α branched ketones and [th](#page-9-0)e issues in controlling the geometry of the forming enamines as well as in steering selectively the subsequent bond formations.

Recently, we have explored the aminocatalysis with acyclic β ketocarbonyls, wherein asymmetric catalysis via a trisubstituted enamine was pursued.² Though well explored as latent enoltype nucleophiles in many catalytic asymmetric transformations, acyclic β-ketocar[b](#page-9-0)onyls as versatile ketone synthons have not been used in iminium/enamine catalysis so far. The idea of aminocatalytic turnover with β -ketocarbonyls also seems counterintuitive because it is also known that amines tend to form stabilized enamines with β -ketoesters as a result of intramolecular H-bonding. In fact, enaminone carbonyls derived from chiral primary amines have been reported as readily preformed nucleophiles in asymmetric synthesis.³ Synthetic enaminones have been successfully used as the haptens to induce the evolution of antibody aldolases (Schem[e](#page-9-0)

Scheme 1. Overview of Enamine Catalysis

1).⁴ As a continuation to our efforts in primary aminocatalysis,⁵ we have explored chiral primary amines in the catalysis with ac[yc](#page-9-0)lic β -ketoesters.² I[n](#page-9-0) this paper, we present a full account on our efforts in exploring the aminocatalysis with β -ketoesters in the α -amination as [w](#page-9-0)ell as Robinson-type annulation reactions. Our detailed mechanistic studies have revealed divergent stereocontrol modes for the same primary amine catalyst.

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■ RESULTS AND DISCUSSION

 α -Amination. Recently, we reported a chiral primary amine catalyzed α -amination of β -ketocarbonyls wherein an enamine catalytic cycle was successfully telescoped with Cu(I)-mediated aerobic oxidation of N-hydroxycarbamate.^{2a} The reactions occurred smoothly under aerobic conditions to give the amination adducts with excellent enanti[ose](#page-9-0)lectivities, thus providing a facile route for the synthesis of α -quaternary amino acids (Scheme 2). In this process, it was found that the

challenging issue on catalytic turnover with an enaminone intermediate could be addressed by judiciously tuning multiacidic additives, consistent with the known acidic effects in aminocatalysis as well as our previous studies in primary amine catalysis.⁶ In addition, the catalytically active enamine intermediate was determined to be mainly in the Zconfigur[ati](#page-9-0)on in both solid and solution phase. The H-bonding network involving the protonated tertiary amine moiety as well as the enamine N−H in this Z-enamine would stereoselectively guide the approach of electrophile, e.g., nitrosocarbonyl, accounting for the high chemo- and stereoselectivity observed experimentally (Scheme 2).^{2b}

Parallel to the work on oxidative amination, we have also investigated the direct α -[hyd](#page-9-0)razination of β -ketoesters with readily available electrophilic azodicarboxylates. The same reaction has been extensively explored with Lewis acid catalysis,⁷ H-bond catalysis,⁸ and phase-transfer catalysis.⁹ Unfortunately, most of the organocatalytic reactions are limited to [c](#page-9-0)yclic $β$ -ketoesters, and the attempts with acyclic $β$ ketoesters normally gave poor enantioselectivities.^{8,9} Recently, the Deng group has reported an enantioselective α -hydrazination of acyclic β -ketoesters but with thioester deri[vat](#page-9-0)ives.¹⁰

Our previously developed primary amines were quickly found to be viable catalysts for the reaction of acyc[lic](#page-9-0) β ketoester 1a and dibenzyl azodicarboxylate 2a. The catalyst 3a derived from tert-leucine, an optimal catalyst in the oxidative amination reaction, was identified to be the optimal catalyst for asymmetric $α$ -hydrazination of acyclic $β$ -ketoester 1a. The desired adduct was isolated with 91% yield and 95% ee in dichloromethane (Table 1, entry 1). The use of other catalysts (e.g., 3b−e) also led to high enantioselectivities but moderate

Table 1. Screening and Optimization for α -Hydrazination of β -Ketoesters^a

	OEt BnO_2C^N 1a	$CO2$ Bn Ν ш 2a	3-TfOH (10 mol%) solvent, r.t.	m -NO ₂ C ₆ H ₄ CO ₂ H (10 mol%)	OEt γ_{η_1} Cbz НΝ Cbz 4a
entry	catalyst	solvent	time (h)	yield b (%)	ee c (%)
$\mathbf{1}$	3a	CH_2Cl_2	30	91	95
$\overline{2}$	3 _b	CH ₂ Cl ₂	40	68	95
3	3c	CH_2Cl_2	72	50	87
$\overline{4}$	3d	CH ₂ Cl ₂	80	55	91
5	3e	CH,Cl,	72	74	92
6	3a	MeCN	96	93	91
7	3a	THF	40	37	94
8	3a	Et ₂ O	48	52	94
9	3a	toluene	48	73	95
	10 3a	MeOH	30	14	81

a The reactions were performed at room temperature in 0.2 mL solvent with 1a (0.10 mmol), 2a (0.12 mmol), 3-TfOH (10 mol %) and mnitrobenzoic acid (10 mol %). ^bIsolated yields. ^cDetermined by chiral HPLC.

> **3a**: $R = {}^{t}Bu$ $N(^nPr)_2$ $3b$: R = P r $NH₂$ $3c: R = Bn$ NH₂ $3d: R = Ph$ $3a-d$ $3e$

yields (Table 1, entries 2−5). Further optimization revealed that dichloromethane is the solvent of choice (Table 1, entries 6−10). To the best of our knowledge, the current primary aminocatalyst furnishes so far the best outcome in organocatalytic α -hydrazination of acyclic β -ketoesters.

The scope of the α -hydrazination was next explored. As shown in Table 2, acetoacetates 1 with ethyl, methyl, as well as butyl ester can be equally applied in the reactions to afford the desired adducts [in](#page-2-0) high yields and excellent enantioselectivities (Table 2, entries 1−3). Substrates bearing sterically bulky tertbutyl ester, benzyl ester, unsaturated allyl ester, and α -allyl or propar[gy](#page-2-0)l substituents were also smoothly converted to the expected products at 40 °C in moderate yields and high enantioselectivities (Table 2, entries 4−8). Furthermore, the cyclic $β$ -ketoester 1j can also be employed as a nucleophile to furnish the desired product [w](#page-2-0)ith 73% yield and 96% ee (Table 2, entry 10). This reaction is also compatible with diethyl azodicarboxylates 2b as nitrogen source to give the desired [am](#page-2-0)ination adducts in high yields and excellent enantioselectivities (Table 2, entries 11 and 12). For bulky β -ketoesters, higher temperature was necessary to speed up the reaction but slightly sacrificed t[he](#page-2-0) enantioselectivity. The absolute configuration of the α -hydrazination adducts 4a and 4j was determined to be R by comparison with the literature.^{7b}

Robinson Annulation. Recently, we reported chiral primary amine 3a−TfOH cata[lyz](#page-9-0)ed Robinson annulation between 1,3-cyclohexanediones and methyl vinyl ketone (MVK), furnishing a practical protocol for asymmetric synthesis of Wieland−Miescher ketones (1−100 g) (Scheme 3).¹¹ In this study, we further extended the reaction to acyclic 1,3-diketones, particularly β-ketoesters. This seemingly minor [su](#page-2-0)[bst](#page-9-0)rate extension turned out to be nontrivial as the reaction involves the creation of an all-carbon quaternary stereogenic center from acyclic substrate, a scenario distinctive from its counterpart with 1,3-cyclohexanediones wherein a cyclic quaternary stereocenter is generated from a desymmetric

Table 2. Asymmetric α -Hydrazination of β -Ketoesters^{a,b}

^aThe reactions were performed at room temperature in 0.2 mL of CH_2Cl_2 with 1 (0.10 mmol), 2 (0.12 mmol), 3a–TfOH (10 mol %), and *m*-nitrobenzoic acid (10 mol %). $\frac{b}{b}$ Isolated yields. CReaction conducted with 0.15 mmol of 2a.

aldol condensation. In addition, as both ketoesters and vinyl ketones are amenable to aminocatalysis, there would be two competing reaction pathways (I and II) leading to two annulation adducts (e.g., 6a and 7, Scheme 4), respectively, hence adding further challenges to the Robinson annulation of β-ketoester and MVK. In fact, such a reaction with acyclic $β$ -

Scheme 4

ketoesters has not been achieved in organocatalysis in spite of the prevalence of aminocatalysis. $3a,12-14$

In order to further extend the scope of aminocatalysis with β ketoesters, we have explored t[he Rob](#page-9-0)inson annulation with MVK. Initially, the reaction of β -ketoester 1a and MVK catalyzed by primary amine 3a−TfOH was found to give 7 with moderate yield and low enantioselectivity (Table 3, entry 1). Interestingly, the addition of a second weak acid, m-nitrobenzoic acid, led to the isolation of 6a with high enantioselectivity (Table 3, entry 2). Further in[cr](#page-3-0)easing the loading of m-nitrobenzoic acid favored the formation of 6a, with a concurrent reducti[on](#page-3-0) in 7 (Table 3, entry 3 vs 2). The dramatically different chiral induction observed with 6a and 7 is a clear indication of two competing reac[tio](#page-3-0)n pathways in this reaction system. Conditions were then searched in order to suppress the unselective 7-forming process. It was soon discovered that portionwise addition of MVK to maintain an excess β -ketoester 1a in the reaction mixture was in favor of the formation of 6a (Table 3, entry 4). The screening of different solvents was then followed, and the reaction was found to afford only product 6a [wi](#page-3-0)th 54% yield and 94% ee in $CH₃CN$; no 7 could be isolated in this case (Table 3, entry 9). In other solvents, adduct 7 was generally isolated in varied amounts (Table 3, entries 5−12); occasionally, un[cy](#page-3-0)clized product 8, a likely precursor for 7, was isolated. Both 7 and 8 were determ[in](#page-3-0)ed to be of low enantioselectivities (Table 3, entries 5 and 10). Finally, the yield of 6a could be significantly improved by syringe pump addition of MVK (Table 3, entries [13](#page-3-0) and 14).

Under the optimal conditions, we then examined the substrate scope of this Michael−aldol d[om](#page-3-0)ino reaction. Both MVK and acrolein 5b could be employed as Michael acceptors to furnish the target products with moderate yields and high to excellent enantioselectivities (Table 4, entries 1−5). However, when the R^2 substituent of acetoacetates was changed to larger group ⁿ Pr, the yield declined dram[ati](#page-3-0)cally, but the enantioselectivity was maintained (Table 4, entry 6). Other substrates bearing a bulky group (e.g., Bn and $^t{\rm Bu})$ in either the ${\rm R}^2$ or ${\rm R}^3$ position gave poor outcomes (<[20](#page-3-0)% yield).

The absolute configuration of the cyclized product 6a was determined to be S- from the X-ray structure of pbromobenzoylhydrazone derivative 9 (Figure 1), which was opposite to the absolute configuration of $α$ -hydrazination and α-[h](#page-3-0)ydroxyamination products of $β$ -ketoesters. This unexpected stereoinduction is strongly suggestive of a distinctive catalytic mode in the reaction.

■ MECHANISTIC STUDIES

With the established catalysis with β -ketoesters, we then carried out detailed experimental and theoretical studies for better understanding of the mechanism. Specifically, the synthesis of enamine ester intermediates, their stoichiometric reactions, as well as the origins of stereocontrols were investigated with our identified optimal catalyst.

1. Stoichiometric Chemistry of Enamine Ester Intermediates. Synthesis and Characterization of Enamine Ester Intermediates. It was found that a catalytic amount of mnitrobenzoic acid could effectively promote the stoichiometric reactions of acetoacetates 1 and chiral primary amine 3a to give the expected enamine esters (Table 5, entry 1 vs 2). After quick filtration on a basic alumina column, the enamine esters 10 could be obtained as analytically pu[re](#page-3-0) compounds. As shown in Table 5, there seems to be virtually no obstacle to forming enamine with β -ketoesters bearing bulky ester groups (entries

Table 3. Optimization for Robinson Annulation

a
Method A: reactions were performed for 60 h with 1a (0.2 mmol), MVK (0.24 mmol), catalyst 3a−TfOH (20 mol %), and m-nitrobenzoic acid (0−20 mol %). Method B: MVK (0.2 mmol) was added in portions (one-fifth every 6 h) into the mixture of 1a (0.4 mmol), catalyst 3a-TfOH (20 mol %), and m-nitrobenzoic acid (20 mol %) in solvent (0.3 mL). Method C: MVK (0.2 mmol) was dissolved in MeCN (0.3 mL) and slowly added into the mixture of 1a (0.4 mmol), catalyst 3a–TfOH (20 mol %), *m-*nitrobenzoic acid (20 mol %), and MeCN (0.2 mL) using a syringe pump for
20 h. ^bIsolated yields. 'Determined by chiral HPLC. ^dThe reaction was perform

Table 4. Michael–Aldol Domino Reactions^a

^aAll reactions were performed at room temperature in 0.5 mL of MeCN with 1 (0.4 mmol), MVK or acrolein (0.2 mmol dissolved in 0.3 mL MeCN, slow addition using syringe pump), 3a−TfOH (20 mol %), and *m*-nitrobenzoic acid (20 mol %) for 60 h. ^b Isolated yields.

Chatermined by chiral HPIC $\frac{d_1}{dt}$ 0 mmol scale reaction Determined by chiral HPLC. $d_{1.0}$ mmol scale reaction.

Figure 1. X-ray crystal structure for compound 9. H atoms are omitted for clearance; thermal ellipsoids set at 30%.

Table 5. Synthesis of Trisubstituted Enamine Intermediates^a

OR $3 +$ R^1 R^2 1	$\bar{N}H_2$ 3a		m -NO ₂ C ₆ H ₄ CO ₂ H (20 mol%) $CH2Cl2$, r.t., 6 h R^3O	NH R^1 R^2 10
entry	R ¹	R^2	R^3	yield b (%)
1	Me	Me	Et	87(10a)
2^c	Me	Me	Et	28(10a)
3	Me	Me	Me	85 (10b)
$\overline{4}$	Me	Me	Bn	76(10c)
5	Me	Me	^t Bu	43(10d)
6	Me	Bn	Me	67(10e)
7	Me	allyl	Me	65 (10f)
8	(CH ₂) ₄		Et	78 (10g)
9	Et	Me	Me	20(10h)
10 ^d	Et	Me	Me	47 (10h)

^aAll reactions were performed at room temperature in 0.5 mL of $CH₂Cl₂$ with 1 (0.2 mmol), 3a (0.21 mmol), and *m*-nitrobenzoic acid $(20 \text{ mol } 8)$ for 6 h. b Isolated yields. c In the absence of *m*-nitrobenzoic acid. d Reaction was conducted for 48 h.

3–5). Furthermore, when R^2 was changed to a larger group, such as Bn or allyl, the reaction occurred smoothly with slightly reduced isolated yields (entries 6 and 7). It was noted that cyclic β -ketoester, though completely in its enol form as observed by ¹HNMR, also underwent enamine formation with catalyst 3a to give the desired enamine ester 10g in 78% yield (entry 8). However, ethyl ketone ($R^1 = Et$) reacted very slowly, and the expected enamine ester 10h was obtained in only 47% yield after 48 h (entries 9 and 10). The observed reactivity trend is consistent with the respective catalytic behaviors.

Stability of Enamine Intermediates. The stability of enamine ester is considered an unfavorable feature for enamine turnover with ketoesters. During the purification of enamine esters 10, we noticed that the enamines 10 were rather unstable and underwent facile hydrolysis in the presence of silica gel. Indeed, when the synthesized enamine 10a was treated with $TfOH/m-NO_2PhCOOH$, complete hydrolysis was observed by NMR.^{2a} These observations indicated the catalytic turnover with enamine esters should not be an issue under our catalytic condi[tio](#page-9-0)ns.

Stoichiometric Reactions. The reactivity of enamine 10a was then examined in this Michael−aldol domino process with MVK. There was no reaction between enamine 10a and MVK in the absence of acidic additives (Scheme 5, A, entry 1). The

Scheme 5. Control Reactions of Enamine Intermediates 10a with MVK (A) or 2a (B)

addition of either strong acid TfOH or weak acid mnitrobenzoic acid was found to facilitate the reaction, and both 6a and 7 were isolated in these cases. Notably, in the presence of TfOH high enantioselectivity (94% ee) was achieved for 6a but not for 7, although the latter was obtained as the major adduct (A, entries 2 and 3). Consistent with the catalytic behavior, the joint use of TfOH and m-nitrobenzoic acid can suppress the formation of 7, leading to sole production of 6a in high enantioselectivity (A, entry 4). A similar acidic additive effect has also been observed in the stoichiometric reaction of enamine ester 10a with dibenzyl azodicarboxylate 2a (Scheme 5, B).

2. Origin of Stereoselectiviy. A close inspection revealed that the chiral inductions in the two examined reactions are opposite in the sense of the absolute configurations (4a vs 6a), though the same catalytic system was applied in both cases (Figure 2). This intriguing stereocontrol issue was then further investigated by DFT calculations with the Gaussian 09 program package.¹⁵ The recently developed M06-2X functional¹⁶ together with the $6-31G(d)$ basis set were used for the geometr[y](#page-9-0) optimizations and vibrational calculations. Ea[ch](#page-9-0) geometry was confirmed as a minimum (no imaginary

Figure 2. Opposite chiral inductions in hydrazination and Robinson annulation reaction.

frequency) or a transition state (one imaginary frequency) by calculation of harmonic vibrational frequencies. The SMD continuum solvation model 17 with acetonitrile as the solvent were used in single-point energy calculations, and these calculations were perform[ed](#page-9-0) at the M06-2 $x/6-311+G(d,p)$ level with gas-phase optimized structures.

Origins of Stereoselectivity for α -Hydranization Reaction. The absolute configuration of the hydranization adduct, e.g., 4a, was determined to be the same as with the α -amination adduct derived from nitrosocarbonyls (Figure 2). Previously, we have found the major R-product was formed via Re-facial attack to Zs-trans enamine, the most stable enamine intermediate with β ketoesters, while the Si-facial addition to E-s-trans enamine led to minor S-product.2b This model has also been tested in the current hydranization reaction with dimethyl azodicarboxylate as the model subst[rat](#page-9-0)e. The computed free energy difference between these two transition states is 5.9 kcal/mol favoring the formation of R-product, in agreement with the experimental observation (Figure 3).

Origins of Stereoselectivity for Robinson Annulation. (1) Reaction Pathway. As both β -ketoesters and MVK are amenable to aminocatalysis, the possible reaction pathways were first analyzed. Accordingly, the reaction may be initialized via enamine activation of $β$ -ketoesters (Scheme 6, pathway I) to

Figure 3. Amination transition states.

Scheme 6. Proposed Catalytic Cycle for the Michael−Aldol Cascade Reactions

give 6a or competitively via iminium ion activation of MVK to give 7 (Scheme 6, pathway II). Compounds 6a and 7 may also be formed from two bifurcating pathways with a common intermediate. However, this possibility can be ruled out as 6a and 7 are generally obtained in dramatically different enantioselectivities indicating two competitive pathways. The fact that slow addition of MVK can suppress the formation of 7 is in line with the proposed mechanistic scenario (Scheme 6). It is noted that when MVK was added in one portion, only 7 was formed with low ee (Table 3, entry 1), indicating that the formation of iminium ion 13 is much faster than the formation of enamine 10a and the imin[um](#page-3-0) cycle is a less enantioselective pathway. However, the slow addition of MVK by syringe pump to the reaction system ensured the prior formation of enamine 10a; thus, the enamine catalytic cycle could dominate in the reaction.

The enamine catalytic nature as well as the critical roles of acidic additives has also been verified by the stoichiometric experiments. A sole production of 6a in the presence of both TfOH and m-nitrobenzoic acid (Scheme 5, A) clearly indicates that the use of combined acids significantly facilitate the enamine coupling in preference to ena[min](#page-4-0)e hydrolysis as well as the subsequent iminium activation of MVK. The formation of 7 with either TfOH or m-nitrobenzoic acid only was probably caused by the partial hydrolysis of the enamine as well as the participation of iminium catalytic cycle under the conditions. In addition, a linear Michael adduct 8, derived from either intermediate 11/12 or 14/15, has also been isolated. Under the catalytic conditions, the cyclization of 8 proceeded smoothly to furnish 7 as a sole adduct without any enantioselectivity enrichment (Scheme 7). This observation

together with the low enantioselectvity of 7 and 8 indicates that the formation of side product 8 is coupled with the nonselective iminium cycle (pathway II) and that product 6a could only come from the enamine catalytic sequence wherein the initial Michael addition is the critical stereogenic C−C forming step (pathway I).

(2) Initial Explorations on Stereocontrol. The stereocontrol in the enamine cycle for cyclohexenone (S) -6 was then explored by DFT calculations. Following the successes in α oxidative amination and α -hydrazination reactions, the Hbonding mode (Figure 4, I) involving the protonated

ammonium moiety was first considered. Accordingly, we have successfully located all the possible transition states corresponding to Re-facial attack and Si-facial attack onto enamine intermediates. In this regard, only enamine intermediates with the lowest free energy were considered in the calculations (for a full list of all the possible enamine intermediates, see the Supporting Information). Unexpectedly, the Re-facial attack TS was found to be significantly favored over those Si-facial attack [by >2.0 kcal/mol, lead](#page-9-0)ing to R-selective product, which is against the experimental observation. Other possible Si-facial modes were hence explored, and these include bidentate Hbonding with acid (mode II) and enelike processes III and IV (Figure 4). Unfortunately, all these S-selective TSs are disfavored by >3.7 kcal/mol over the R-selective TS (see the Supporting Information for details), indicating they are not able to account for the observed S-selectivity (Figure 5).

[\(3\) Dual Aminoca](#page-9-0)talytic Mode. At this point, we reinvestigated the presumed one-catalyst partici[pa](#page-6-0)tion mode. The nonlinear effect (NLE) study of the model reaction was performed. As shown in Figure 6, a positive NLE was observed,

Figure 5. Transition states with H-bonding activated electrophiles (mode I, energies in kcal/mol).

Figure 6. Nonlinear effects of Robinson annulation.

which indicated that more than one molecule of catalyst 3a− TfOH was likely to be involved in the transition state. Provided with the tendency of MVK undergoing iminium activation, we invoked a dual aminocatalytic mode wherein two in situ generated active species, i.e., enamine ester (e.g., 10a) and iminium ion (e.g., 13), derived from two catalyst molecules, respectively, are coupled to forge the critical C−C bond. In this scenario, the critical enamine addition may become stericsguided instead of H-bonding-directed as proven in the α amination reaction, and the Si-facial attack may thus be rendered a favored pathway (Figure 7). Similar dual catalysis has recently been reported in a related Robinson-type reaction wherein a chiral secondary amine in concert with a stoichiometric amount achiral primary amine was reported to fulfill the desired catalysis with good enantioselectivity (Scheme 8).¹⁸

Figure 7. Dual aminocatalytic mode for stereocontrol.

Scheme 8

To verify the dual catalysis, we investigated the stereogenic C−C bond formation using enamine 10a−TfOH and 13 as the coupling components. The two most favored orientations of iminium ion were considered, and we have obtained all four TSs corresponding to the respective Re- or Si-facial additions. It is noted that all these dual-activation TSs are of much lower activation energy that those of sole enamine catalysis (Figure 8 vs Figure 5), indicating the dual catalysis is a favored reaction pathway. More delightfully, Si-facial attack TS is now favore[d](#page-7-0) over Re-TS by 3.2 kcal/mol, corresponding to 99% ee favoring the S-product, which is in accordance with the experimental results.

On the basis of the above results, the initial proposed catalytic cycle was revised as follows (Scheme 9). The target product 6a was formed by the coupling of enamine 10a−TfOH and imine 13 via an enamine−imininium dual c[ata](#page-7-0)lytic process, and the tautomerization of imine intermediate 11 to enamine intermediate 12 led to the formation of 6a.

■ **CONCLUSIONS**

In conclusion, chiral primary amine catalyzed α -hydrazination and Robinson-type annulation of β -ketoesters have been developed. This unprecedented enamine catalysis of β ketoesters is enabled by a simple chiral primary amine derived from tert-leucine in concert with a strong acid, TfOH, and a weak acid, m-nitrobenzoic acid. The joint use of two acidic additives is critical to facilitate the catalytic turnover and to tune the chemo- and stereoselectivity. Our experimental and theoretical studies have revealed distinctively divergent stereocontrol modes for the same catalytic system, wherein the α hydrazination reaction follows the typical H-bonding model and the Robinson annulation tends to be sterics-guided as a result of its dual-activation nature. This mechanistic scenario sets the basis for future exploration on aminocatalysis with β ketocarbonyls.

EXPERIMENTAL SECTION

General Information. Commercial reagents were used as received unless otherwise indicated. ^{1}H and ^{13}C NMR spectra were measured

Figure 8. Biactivation transition states (energies in kcal/mol).

on an NMR instrument (300 and 600 MHz for ¹H NMR, 75 MHz for 13 C NMR). Tetramethylsilane (TMS) served as the internal standard for ${}^{1}H$ NMR, and CDCl₃ served as the internal standard for ${}^{13}C$ NMR. The enantiomeric excesses were determined by HPLC analysis on AD-H, OD-H, AS-H, OJ-H, and IA columns. Optical rotation was measured on a commercial polarimeter and reported as follows: $[\alpha]^{25}{}_{\rm D}$ $(c = g/100 \text{ mL}, \text{solvent})$. HRMS was measured in ESI mode, and the mass analyzer of the HRMS was orbitrap.

Materials. β-Keto esters 1a−f were prepared by alkylation of the corresponding α-unsubstituted β-keto esters with alkyl iodide.¹⁹ β-Keto ester 1g was prepared by allylation of the corresponding acetoacetate [w](#page-9-0)ith allyl bromide.¹⁹ β -Keto esters 1h²⁰ and 1j²¹ were prepared according to literature precedent.

General Procedure for the α -Amination of β [-Ke](#page-9-0)to Es[ter](#page-9-0)s. To β -keto ester 1 (0.10 mmol), 3a–TfOH (10 mol %), and *m*nitrobenzoic acid (10 mol %) in a standard glass vial with stir bar was added azodicarboxylate 2 (0.12 mmol) followed by CH_2Cl_2 (0.20 mL). The reaction was stirred at room temperature or 40 °C until completion as indicated by TLC. The mixture was directly loaded onto a silica gel column and eluted with ethyl acetate/petroether to give the target products. The products 4a, 4f, 4j–k,^{7b}and 4i^{8b} are known compounds.

4b: colorless oil, 37.7 mg, 88% yield. $[\alpha]^{25}$ $[\alpha]^{25}$ $[\alpha]^{25}$ _D = -[12.](#page-9-0)4 (c = 1.0, CH_2Cl_2). HPLC (OD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/min, $\lambda = 210$ nm): 91% ee, 15.90 min (minor), 17.62 min

(major). ¹ H NMR (300 MHz, CDCl3) δ: 7.32−7.26 (m, 10H), 6.70 (s, 1H), 5.22−5.15 (m, 4H), 3.74 (s, 3H), 2.34 (s, 3H), 1.64 (s, 3H). IR (thin film, cm[−]¹): 3302, 1747, 1731, 1715, 1455. HRMS (ESI): calcd for $C_{22}H_{24}O_7N_2Na^+$ 451.1476, found 451.1473.

4c: colorless oil, 32.9 mg, 70% yield. $[\alpha]^{25}$ _D = -14.0 (c = 1.0, CH_2Cl_2). HPLC (OD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/min, λ = 210 nm): 96% ee, 21.65 min (major), 25.50 min (minor). ¹H NMR (300 MHz, CDCl₃) δ: 7.32−7.26 (m, 10H), 6.65 (s, 1H), 5.22−5.14 (m, 4H), 4.16 (s, 2H), 2.34 (s, 3H), 1.72−1.59 (m, 5H), 1.36−1.31 (m, 2H), 0.91 (t, J = 9 Hz, 3H). IR (thin film, cm⁻¹): 3300, 1738, 1731, 1715, 1455. HRMS (ESI): calcd for $C_{25}H_{30}O_7N_2Na^+$ 493.1945, found 493.1943.

4d: colorless oil, 29.1 mg, 64% yield. $[\alpha]^{25}$ = -9.6 (c = 1.0, CH₂Cl₂). HPLC (AD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/min, $\lambda = 210$ nm): 74% ee, 20.51 min (minor), 25.61 min (major). ¹ H NMR (300 MHz, CDCl3) δ: 7.32−7.26 (m, 10H), 6.65 (s, 1H), 5.86−5.80 (m, 1H), 5.35−5.14 (m, 6H), 4.65 (s, 2H), 2.34 (s, 3H), 1.67 (s, 3H). IR (thin film, cm⁻¹): 3306, 1738, 1731, 1715, 1498, 1455. HRMS (ESI): calcd for $C_{24}H_{26}O_7N_2Na^+$ 477.1632, found 477.1629.

4e: colorless oil, 29.2 mg, 58% yield. $[\alpha]^{25}$ _D = -12.0 (c = 0.5, CH₂Cl₂). HPLC (OD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/min, λ = 210 nm): 74% ee, 18.65 min (major), 22.42 min (minor). ¹H NMR (300 MHz, CDCl₃) δ: 7.33–7.26 (m, 15H), 6.61 (s, 1H), 5.27−5.08 (m, 6H), 2.31 (s, 3H), 1.65 (s, 3H). IR (thin film, cm[−]¹): 3305, 1731, 1498, 1455. HRMS (ESI): calcd for $C_{28}H_{28}O_7N_2Na^+$ 527.1789, found 527.1784.

4g: colorless oil, 25.4 mg, 56% yield. $[\alpha]_{\text{D}}^{25} = -1.2$ (c = 0.5, CH_2Cl_2). HPLC (OD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/min, λ = 210 nm): 87% ee, 12.10 min (minor), 15.52 min (major). ¹ H NMR (300 MHz, CDCl3) δ: 7.33−7.26 (m, 10H), 6.63 (s, 1H), 5.83 (s, 1H), 5.15−5.0 (m, 6H), 3.72 (s, 3H), 2.83 (s, 2H), 2.34 (s, 3H), 1.64 (s, 3H). IR (thin film, cm[−]¹): 3305, 1738, 1731, 1498, 1455. HRMS (ESI): calcd for $C_{24}H_{26}O_7N_2Na^+$ 477.1632, found 477.1627.

4h: colorless oil, 26.2 mg, 58% yield. $[\alpha]^{25}$ _D = 2.4 (c = 0.5, CH₂Cl₂). HPLC analysis: (OD-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/ min, $\lambda = 210$ nm): 55% ee, 16.04 min (minor), 21.94 min (major). ¹H NMR (300 MHz, CDCl₃) δ: 7.33–7.26 (m, 10H), 6.82 (s, 1H), 5.17 (s, 4H), 3.78−3.68 (m, 3H), 3.10 (s, 2H), 2.43 (s, 3H), 2.03 (s, 1H). IR (thin film, cm[−]¹): 3290, 2920, 1747, 1731, 1498, 1455. HRMS (ESI): calcd for $C_{24}H_{24}O_7N_2Na^+$ 475.1476, found 475.1470.

41: colorless oil, 30.3 mg, 88% yield. $[\alpha]^{25}$ _D = -13.6 (c = 1.0, CH_2Cl_2). HPLC (AS-H, hexane/2-propanol = 4:1, flow rate = 1.0 mL/ min, $\lambda = 210$ nm): 97% ee, 10.86 min (minor), 13.47 min (major). 1 H NMR (300 MHz, CDCl₃) δ : 6.23 (s, 1H), 4.29–4.13 (m, 6H), 2.91– 2.70 (m, 1H), 2.46−2.05 (m, 4H), 1.90−1.53 (m, 3H), 1.31−1.21 (m, 9H). IR (thin film, cm⁻¹): 3295, 1732, 1507, 1218. HRMS (ESI): calcd for $C_{15}H_{24}O_7N_2Na^+$ 367.1476, found 367.1475.

General Procedure for Robinson annulation. To β -keto ester 1 (0.40 mmol), 3a−TfOH (20 mol %), m-nitrobenzoic acid (20 mol %), and MeCN (0.2 mL) in a standard glass vial with stir bar was added 5 (0.2 mmol in 0.3 mL MeCN) slowly using a syringe pump for 60 h at room temperature. Another 3 h later, the mixture was directly loaded onto silica gel column and eluted with ethyl acetate/petroether to give the target products. The products $6a$, 7^{13} and 8^{14e} are known compounds.

6b: colorless oil, 23.9 mg, 71% yield. $[\alpha]^{25}$ _D = [17.](#page-9-0)6 (c = 0.5, MeOH). HPLC (AD-H \times 2, hexane/2-propanol = 99:1, flow rate = 0.5 mL/min, $\lambda = 210$ nm): 93% ee, 49.19 min (major), 52.70 min (minor). ¹ H NMR (300 MHz, CDCl3) δ: 6.97−6.85 (m, 1H), 6.04 (dt, J = 10.2, 2.0 Hz, 1H), 3.69 (s, 3H), 2.56−2.27 (m, 3H), 1.93−1.87 (m, 1H), 1.39 (s, 3H). ¹³C NMR (75 MHz, CDCl₃) δ 197.0, 173.2, 149.6, 129.0, 53.5, 52.6, 33.4, 23.8, 20.5. IR (thin film, cm⁻¹): 1733, 1682, 1622, 1456, 1258. HRMS (ESI): calcd for $C_9H_{12}O_3Na^4$ 191.0679, found 191.0678.

6c: colorless oil, 22.9 mg, 63% yield. $[\alpha]^{25}$ _D = 18.0 (c = 0.5, MeOH). HPLC (AD-H \times 2, hexane/2-propanol = 99:1, flow rate = 0.5 mL/min, $\lambda = 210$ nm): 89% ee, 44.56 min (major), 50.15 min (minor). ¹ H NMR (300 MHz, CDCl3) δ: 6.91−6.86 (m, 1H), 6.07− 5.96 (m, 1H), 3.69 (s, 3H), 2.59−2.40 (m, 2H), 2.40−2.26 (m, 1H), 2.04−1.88 (m, 2H), 1.85−1.73 (m, 1H), 0.91 (t, J = 7.5 Hz, 3H). ¹³C NMR (75 MHz, CDCl₃) δ: 196.5, 172.2, 149.4, 129.4, 57.4, 52.4, 29.6, 26.9, 23.8, 9.2. IR (thin film, cm[−]¹): 1732, 1683, 1622, 1435, 1241. HRMS (ESI): calcd for $C_{10}H_{14}O_3Na^+$ 205.0835, found 205.0835.

6d: colorless oil, 22.0 mg, 56% yield. $[\alpha]^{25}$ _D = 24.0 (c = 0.5, MeOH). HPLC (AD-H \times 2, hexane/2-propanol = 99:1, flow rate = 0.5 mL/min, λ = 210 nm): 95% ee, 39.28 min (major), 43.55 min (minor). ¹H NMR (300 MHz, CDCl₃) δ: 6.94−6.80 (m, 1H), 6.00 (d, J = 9.0 Hz, 1H), 4.15 (q, J = 7.1 Hz, 2H), 2.57−2.39 (m, 2H), 2.36− 2.24 (m, 1H), 2.01–1.89 (m, 2H), 1.84–1.72 (m, 1H), 1.21 (t, $I = 7.1$ Hz, 3H), 0.91 (t, J = 7.5 Hz, 3H).¹³C NMR (75 MHz, CDCl₃) δ : 196.5, 171.7, 149.2, 129.4, 61.2, 57.3, 29.6, 26.8, 23.8, 14.2, 9.1. IR (thin film, cm[−]¹): 1729, 1685, 1622, 1238. HRMS (ESI): calcd for $C_{11}H_{16}O_3$ Na⁺ 219.0992, found 219.0990.

6e: colorless oil, 23.7 mg, 65% yield. $[\alpha]^{25}$ _D = 20.0 (c = 0.5, MeOH). HPLC (AD-H \times 2, hexane/2-propanol = 99:1, flow rate = 0.5 mL/min, $\lambda = 210$ nm): 95% ee, 47.71 min (major), 51.27 min (minor). ¹ H NMR (300 MHz, CDCl3) δ: 6.95−6.85 (m, 1H), 6.04 (dt, J = 10.2, 1.9 Hz, 1H), 4.16 (q, J = 7.0 Hz, 2H), 2.55−2.41 (m, 2H), 2.40−2.27 (m, 1H), 1.94−1.83 (m, 1H), 1.38 (s, 3H), 1.22 (t, J = 7.1 Hz, 3H). 13C NMR (75 MHz, CDCl3) δ: 197.1, 172.8, 149.4, 129.1, 61.4, 53.5, 33.5, 23.8, 20.5, 14.2. IR (thin film, cm⁻¹): 1730, 1684, 1623, 1456, 1254. HRMS (ESI): calcd for $C_{10}H_{14}O_3Na^4$ 205.0835, found 205.0835.

6f: colorless oil, 13.0 mg, 31% yield. $[\alpha]^{25}$ _D = 26.0 (c = 0.5, MeOH). HPLC (AD-H \times 2, hexane/2-propanol = 99:1, flow rate = 0.5 mL/ min, λ = 210 nm): 93% ee, 43.23 min (major), 51.17 min (minor). $^{1} \rm H$ NMR (300 MHz, CDCl₃) δ: 6.92–6.80 (m, 1H), 6.05–5.95 (m, 1H), 4.15 (q, J = 7.1 Hz, 2H), 2.58−2.40 (m, 2H), 2.37−2.26 (m, 1H), 1.99−1.82 (m, 2H), 1.75−1.66 (m, 1H), 1.36−1.25 (m, 2H), 1.21 (t, J $= 7.1$ Hz, 3H), 0.92 (t, J = 7.3 Hz, 3H). ¹³C NMR (75 MHz, CDCl₃) δ: 196.5, 171.7, 149.2, 129.3, 61.24, 57.2, 36.0, 30.1, 23.9, 18.0, 14.6, 14.2. IR (thin film, cm[−]¹): 1729, 1685, 1623, 1448, 1226. HRMS (ESI): calcd for $C_{12}H_{18}O_3Na^+$ 233.1148, found 233.1147.

Synthesis of Compound 9. To a solution of compound 6a (0.3 mmol) in EtOH (10 mL) was added p-bromobenzoylhydrazone (0.3 mmol). After being refluxed for 12 h, the mixture was concentrated in vacuo. The residue was loaded on column chromatography and eluted with ethyl acetate/petroether to give the target product 9 (97.6 mg, 83% yield) as a white solid: $[\alpha]^{25}$ _D = 153.6 (c = 0.5, CHCl₃). Mp: 140−142 °C. ¹ H NMR (300 MHz, CDCl3) δ: 9.15 (s, 1H), 7.82−7.55 (m, 4H), 6.28 (s, 1H), 4.11 (s, 2H), 2.36−2.20 (m, 3H), 1.93 (s, 3H), 1.71 (s, 1H), 1.33−1.19 (m, 6H). IR (thin film, cm[−]¹): 3340, 2927, 1726, 1653, 1589, 1529, 1480, 1254. HRMS (ESI): calcd for $C_{18}H_{21}O_3N_2BrNa^+$ 415.0628, found 415.0623.

Synthesis of Compounds 10a−h. To a round-bottom flask containing $β$ -ketoester 1 (0.20 mmol), chiral primary amine 3a (0.21 mmol), and CH₂Cl₂ (0.5 mL), was added *m*-nitrobenzoic acid (0.04 mmol). The reaction was stirred at room temperature. After 6 h, the mixture was loaded onto basic alumina and eluted quickly with ethyl acetate/petroether (1:10) to give enamine 10. Enamine intermediates 10a and 10c are known compounds.^{2a}

10b: colorless oil, 48.3 mg, 85% yield. ¹ H NMR (300 MHz, CD₃CN) δ : 9.61 (d, J = 9.2 Hz, 1H)[, 3](#page-9-0).59 (s, 3H), 3.31 (td, J = 10.3, 2.2 Hz, 1H), 2.68−2.47 (m, 3H), 2.44−2.21 (m, 3H), 2.00 (s, 3H), 1.78 (s, 3H), 0.98–0.89 (m, 15H). ¹³C NMR (75 MHz, CD₃CN) δ : 172.0, 162.8, 84.7, 62.0, 56.9, 50.5, 48.5, 35.2, 26.9, 16.3, 13.0, 12.5. IR (thin film, cm[−]¹): 2967, 1641, 1601, 1457, 1620. HRMS (ESI): calcd for $C_{16}H_{33}O_2N_2^{\text{+}}$ 285.2537, found 285.2534.

10d: colorless oil, 28.0 mg, 43% yield. ¹H NMR (300 MHz, CDCl₃) δ : 9.33 (d, J = 10.6 Hz, 1H), 3.16 (t, J = 10.0 Hz, 1H), 2.61 (dd, J = 13.6, 2.0 Hz, 1H), 2.54−2.27 (m, 5H), 1.93 (s, 3H), 1.75 (s, 3H), 1.46 (s, 9H), 0.98–0.90 (m, 15H). 13 C NMR (75 MHz, CDCl₃) δ : 171.3, 159.9, 86.8, 61.6, 56.2, 48.1, 35.0, 28.8, 28.1, 27.0, 16.2, 13.7, 12.4. IR (thin film, cm[−]¹): 2968, 1638, 1602, 1455, 1272. HRMS (ESI): calcd for $C_{19}H_{39}O_2N_2^+$ 327.3006, found 327.3004.

10e: colorless oil, 48.2 mg, 67% yield. 1 H NMR (300 MHz, CDCl₃) δ : 9.83 (d, J = 10.6 Hz, 1H), 7.30–7.06 (m, 5H), 3.69 (d, J = 7.2 Hz, 2H), 3.61 (s, 3H), 3.27−3.14 (m, 1H), 2.64 (dd, J = 13.6, 2.0 Hz, 1H), 2.58−2.27 (m, 5H), 1.92 (s, 3H), 0.97−0.92 (m, 15H). 13C NMR (75 MHz, CDCl3) δ: 171.8, 163.1, 143.5, 128.1, 127.8, 125.3, 88.4, 62.1, 56.3, 50.4, 48.1, 34.7, 32.9, 26.9, 16.0, 12.4. IR (thin film, cm[−]¹): 2966, 1640, 1600, 1492, 1450, 1261. HRMS (ESI): calcd for $C_{22}H_{37}O_2N_2^+$ 361.2850, found 361.2848.

10f: colorless oil, 40.3 mg, 65% yield. 1 H NMR (300 MHz, CDCl₃) δ: 9.69 (d, J = 10.5 Hz, 1H), 5.90−5.78 (m, 1H), 4.96−4.87 (m, 2H), 3.63 (s, 3H), 3.20 (td, J = 10.4, 2.1 Hz, 1H), $3.04-2.96$ (m, 2H), 2.62 (dt, J = 13.8, 2.9 Hz, 1H), 2.55−2.26 (m, 5H), 1.94 (s, 3H), 0.98−0.90 (m, 15H). 13C NMR (75 MHz, CDCl3) δ: 171.4, 162.6, 139.1, 112.7, 87.2, 62.0, 56.2, 50.4, 48.1, 34.8, 31.4, 26.9, 15.6, 12.4. IR (thin film, cm[−]¹): 2968, 1640, 1601, 1452, 1265. HRMS (ESI): calcd for $C_{18}H_{35}O_2N_2$ ⁺ 311.2693, found 311.2692.

10g: colorless oil, 50.5 mg, 78% yield. ¹H NMR (300 MHz, CDCl₃) δ : 9.20 (d, J = 10.6 Hz, 1H), 4.10 (q, J = 7.1 Hz, 2H), 3.23–3.11 (m, 1H), 2.65−2.34 (m, 6H), 2.31−2.11 (m, 4H), 1.67−1.46 (m, 4H), 1.25 (t, J = 7.1 Hz, 3H), 0.98−0.89 (m, 15H). 13C NMR (75 MHz, CDCl3) δ: 171.1, 161.1, 87.7, 60.3, 58.5, 56.5, 48.2, 34.8, 27.1, 26.9, 24.2, 23.2, 22.7, 14.9, 12.5. IR (thin film, cm[−]¹): 2966, 1643, 1600, 1455, 1235. HRMS (ESI): calcd for $C_{19}H_{37}O_2N_2^+$ 325.2850, found 325.2848.

10h: colorless oil, 11.9 mg, 20% yield. ¹H NMR (300 MHz, CDCl₃) δ : 9.50 (d, J = 10.2 Hz, 1H), 3.64 (s, 3H), 3.19–3.07 (m, 1H), 2.62 (dd, J = 13.7, 2.2 Hz, 1H), 2.58−2.18 (m, 7H), 1.79 (s, 3H), 1.10 (t, J $= 7.7$ Hz, 3H), 0.92 (dd, J = 12.7, 5.6 Hz, 15H). ¹³C NMR (75 MHz, CDCl3) δ: 171.9, 166.7, 83.7, 62.0, 56.8, 50.3, 48.2, 34.7, 27.0, 21.9, 12.2, 12.2, 12.0. IR (thin film, cm⁻¹): 2968, 1640, 1601, 1441, 1620. HRMS (ESI): calcd for $C_{17}H_{35}O_2N_2^{\ +}$ 299.2693, found 299.2690.

Control Reactions of Enamine 10a or 10a−TfOH with 5a. To a solution of enamine 10a (0.30 mmol) in dried CH_2Cl_2 (10 mL) was added TfOH (0.30 mmol, 1.0 equiv) dropwise with vigorous stirring. After being stirred for 10 min, the solvent was removed under reduced pressure to give 10a−TfOH as a white solid. To 10a (0.10 mmol) or 10a−TfOH (0.10 mmol), m-nitrobenzoic acid (1.0 equiv or none), and MeCN (0.2 mL) in a standard glass vial with stir bar was added 5a (0.10 mmol) in one portion. The reaction was stirred at room temperature. After 60 h, the mixture was directly loaded onto a silica gel column and eluted with ethyl acetate/petroether (1:10) to give the target products.

Control Reactions of Enamine 10a or 10a−TfOH with 2a. To 10a (0.10 mmol) or 10a−TfOH (0.10 mmol), m-nitrobenzoic acid (1.0 equiv or none), and $CH_2Cl_2 (0.2 \text{ mL})$ in a standard glass vial with stir bar was added 2a (0.10 mmol) in one portion. The reaction was stirred at room temperature. After 30 h, the mixture was directly loaded onto silica gel column and eluted with ethyl acetate/petroether (1:3) to give the target products.

■ ASSOCIATED CONTENT

S Supporting Information

CIF file of compound 9, NMR spectra, and HPLC traces for the products. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The auth[ors declare no co](mailto:luosz@iccas.ac.cn)mpeting financial interest.

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